

**QUARTERLY REPORT OF REVENUE AND OTHER RECEIPTS**  
**As of the Quarter Ending December 31, 2018**  
**(In Pesos)**

FAR No. 5

| Department: Department of Finance (DOF)                                    |            | Agency: Securities and Exchange Commission |   |                  |                |                |                  |  |                     |                  |                  | Report Status: SUBMITTED |         |  |
|--|------------|--|---|------------------|----------------|----------------|------------------|--|---------------------|------------------|------------------|--------------------------|---------|--|
| Operating Unit: N/A  |            | Organization Code (UACS): 110110000000     |   |                  |                |                |                  |  |                     |                  |                  |                          |         |  |
| CLASSIFICATION / SOURCES OF REVENUE AND OTHER RECEIPTS                     | UACS Code  | REVENUE TARGET (Annual)                    | ACTUAL REVENUE AND OTHER RECEIPTS COLLECTIONS |                  |                |                |                  | CUMULATIVE REMITTANCE / DEPOSITS TO DATE |                     |                  | VARIANCE         |                          | REMARKS |  |
|  |            |  | 1st Quarter                                   | 2nd Quarter      | 3rd Quarter    | 4th Quarter    | TOTAL            | Remittance to BTR                        | Deposited with AGDB | Total            | Amount           | %                        |         |  |
| 1  | 2          | 3  | 4   | 5                | 6              | 7              | 8=(4+5+6+7)      | 9  | 10                  | 11=(9+10)        | 12=(8-3)         | 13=(12/3)                | 14      |  |
| General Fund (formerly Fund 101)   |            |  |   |                  |                |                |                  |  |                     |                  |                  |                          |         |  |
| Government Equity  |            |  |   |                  |                |                |                  |  |                     |                  |                  |                          |         |  |
| Registration Fees  | 3010101000 |  | 13,106,377.10                                 |                  |                |                |                  |  |                     |                  |                  |                          |         |  |
| Licensing Fees   | 4020102000 | 1,815,091,000.00                           | 703,815,336.83                                | 1,172,718,833.20 | 629,827,245.22 | 809,089,932.06 | 3,315,451,347.31 | 13,141,914.54                            |                     | 13,141,914.54    |                  |                          |         |  |
| Fines and Penalties - Service Income                                       | 4020108000 | 30,580,000.00                              | 47,341,898.37                                 | 5,113,387.43     | 44,520,790.80  | 26,081,950.12  | 123,058,026.72   | 3,327,529,730.03                         |                     | 13,141,914.54    | 13,141,914.54    |                          |         |  |
| Other Service Income   | 4020114000 | 230,746,000.00                             | 58,370,102.34                                 | 45,039,795.44    | 50,866,259.70  | 48,599,766.04  | 202,875,923.52   | 122,902,326.46                           |                     | 3,327,529,730.03 | 1,500,360,347.31 | 0.83                     |         |  |
| Special Account in the General Fund (formerly Fund 105, 183, 401, 151-158) | 4020199099 | 278,609,000.00                             | 127,354,750.62                                | 22,367,339.04    | 143,326,989.08 | 21,046,206.66  | 314,095,285.40   | 202,524,753.39                           |                     | 202,524,753.39   | (27,870,076.48)  | -0.12                    |         |  |
| Off-Budget Accounts (formerly Fund 161 to 164, etc.)                       |            |  |   |                  |                |                |                  | 314,044,967.40                           |                     | 314,044,967.40   | 35,486,285.40    | 0.13                     |         |  |
| Registration Fees  |            |  |   |                  |                |                |                  |  |                     |                  |                  |                          |         |  |
| Custodial Funds (formerly Fund 101-184, 187)                               | 4020102000 | 100,000,000.00                             | 88,000,000.00                                 | 5,000,000.00     | 7,000,000.00   |                | 100,000,000.00   |  | 100,000,000.00      | 100,000,000.00   |                  |                          |         |  |
| Trust Liabilities  |            |  |   |                  |                |                |                  |  |                     |                  |                  |                          |         |  |
| Seminar/Training Fees  | 2040101000 |  | 8,512,106.64                                  | 11,922,901.44    | 7,083,178.67   | 8,424,472.12   | 35,942,658.87    |  |                     |                  |                  |                          |         |  |
| Rent/Lease Income  | 4020204000 |  | 588,200.00                                    | 712,500.00       | 1,444,364.46   | 2,021,300.00   | 4,766,364.46     |  |                     |                  |                  |                          |         |  |
|  | 4020205000 |  | 2,405,989.97                                  | 2,405,899.97     | 2,646,599.97   | 2,646,599.97   | 10,105,199.88    |  |                     |                  |                  |                          |         |  |
| TOTAL  |            | 2,455,026,000.00                           | 1,049,494,771.87                              | 1,285,280,756.52 | 886,750,965.34 | 917,910,226.97 | 4,119,436,720.70 | 36,062,512.70                            | 4,016,206,204.52    | 114,871,564.34   | 4,131,077,768.88 | 1,864,410,720.70         | 0.88    |  |

Certified Correct:

Esguerra, Dondie

Agency Chief Accountant

Date: 07/Jan/2019

Approved By:

Aquino, Ennio

Head of Agency/Department Secretary

Date: 07/Jan/2019