

FY 2017 FINANCIAL PLAN
(In Thousand Pesos)

Department: Department of Finance (DOF)
 Agency: Securities and Exchange Commission
 Operating Unit: N/A
 Organization Code (UACS): 110110000000

Particulars	UACS CODE	Current Year's Obligation			Budget Year Obligation Program										
		Actual Jan.1- Sept.30	Estimate Oct.1- Dec.31	Total	Total	COMPREHENSIVE RELEASE					FOR LATER RELEASE(Negative List)				
						Q1	Q2	Q3	Q4	Sub Total	Q1	Q2	Q3	Q4	Sub Total
1	2	3	4	5= 3+4	6= 11+16	7	8	9	10	11= 7+8+9+10	12	13	14	15	16= 12+13+ 14+15
Part A		385,291	149,501	534,792	677,914	155,084	168,866	155,084	198,880	677,914					
Specific Budgets of National Government Agencies	101101	369,419	133,629	503,048	646,864	147,323	161,103	147,323	191,115	646,864					
General Administration and Support	0000010000000000	298,239	109,172	407,411	314,395	76,166	81,374	76,166	80,689	314,395					
General management and supervision	103001000100000	115,296	109,172	224,468	314,395	76,166	81,374	76,166	80,689	314,395					
PS		11,233	74,484	85,717	127,663	29,483	34,691	29,483	34,006	127,663					
MOOE		104,063	34,688	138,751	186,732	46,683	46,683	46,683	46,683	186,732					
Administration of Personnel Benefits	103001000200000	182,943		182,943											
PS		182,943		182,943											
Support to Operations	0000020000000000	11,364	3,730	15,094	70,227	9,379	9,766	9,379	41,703	70,227					
Development, maintenance and administration of information systems, databases and website	103002000100000	4,138	1,321	5,459	62,070	7,340	7,727	7,340	39,663	62,070					

PS		992	272	1,264	9,037	2,082	2,469	2,082	2,404	9,037				
MOE		3,146	1,049	4,195	21,033	5,258	5,258	5,258	5,259	21,033				
CO					32,000				32,000	32,000				
Conduct of public seminars and related activities for investment-promotion and investor protection	101002000200000	5,124	1,708	6,832	6,325	1,581	1,581	1,581	1,582	6,325				
MOE		5,124	1,708	6,832	6,325	1,581	1,581	1,581	1,582	6,325				
Development and dissemination of information materials for the public	101002000300000	2,102	701	2,803	1,832	458	458	458	458	1,832				
MOE		2,102	701	2,803	1,832	458	458	458	458	1,832				
Operations	000003000000000	59,816	20,727	80,543	262,242	61,778	69,963	61,778	68,723	262,242				
MFO 1: CORPORATE AND CAPITAL MARKET DEVELOPMENT SERVICES	000003010000000	1,875	626	2,501	35,729	8,931	8,931	8,931	8,936	35,729				
Capital Market Development Services	000003010100000	1,875	626	2,501	35,729	8,931	8,931	8,931	8,936	35,729				
Formulation of policies, plans and programs for capital market	101003010100001	1,760	587	2,347	33,761	8,440	8,440	8,440	8,441	33,761				
MOE		1,760	587	2,347	33,761	8,440	8,440	8,440	8,441	33,761				
Provision of technical assistance and inter-agency activities	101003010100002	66	22	88	1,447	361	361	361	364	1,447				
MOE		66	22	88	1,447	361	361	361	364	1,447				
Rendering of opinions and interpretative issuances	101003010100003	49	17	66	521	130	130	130	131	521				
MOE		49	17	66	521	130	130	130	131	521				

MFO 2 CORPORATE AND CAPITAL MARKET REGULATION SERVICES	000003020000000	57,941	20,101	78,042	226,513	52,847	61,032	52,847	59,787	226,513					
Registration, Compliance-Monitoring and Enforcement	000003020100000	57,941	20,101	78,042	226,513	52,847	61,032	52,847	59,787	226,513					
Registration/licensing of corporations, capital market participants, securities and investment instruments	101003020100001	54,607	19,022	73,629	172,109	40,164	46,353	40,164	45,428	172,109					
PS		41,292	14,584	55,876	148,577	34,281	40,470	34,281	39,545	148,577					
MOOE		13,315	4,438	17,753	23,532	5,883	5,883	5,883	5,883	23,532					
CO															
Conduct of audits, inspection, verification and/or examination of operations/activities, including the corporate reports, financial records, and disclosures by regulated entities	101003020100002	5		5	1,843	460	460	460	463	1,843					
MOOE		5		5	1,843	460	460	460	463	1,843					
Impositions of enforcement actions against errant entities subjected to compliance-monitoring and investigative activities	101003020100003	3,329	1,079	4,408	52,561	12,223	14,219	12,223	13,896	52,561					

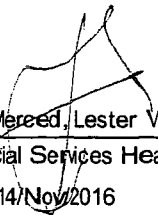
PS		3,072	993	4,065	47,035	10,842	12,838	10,842	12,513	47,035				
MOOE		257	86	343	5,526	1,381	1,381	1,381	1,383	5,526				
Retirement and Life Insurance Premiums	104102	15,872	15,872	31,744	31,050	7,761	7,763	7,761	7,765	31,050				
General Administration and Support	0000010000000000	5,618	5,618	11,236	12,041	3,010	3,010	3,010	3,011	12,041				
General management and supervision	103001000100000	5,618	5,618	11,236	12,041	3,010	3,010	3,010	3,011	12,041				
PS		5,618	5,618	11,236	12,041	3,010	3,010	3,010	3,011	12,041				
Support to Operations	0000020000000000	484	484	968	834	208	209	208	209	834				
Development, maintenance and administration of information systems, databases and website	103002000100000	484	484	968	834	208	209	208	209	834				
PS		484	484	968	834	208	209	208	209	834				
Operations	0000030000000000	9,770	9,770	19,540	18,175	4,543	4,544	4,543	4,545	18,175				
MFO 2: CORPORATE AND CAPITAL MARKET REGULATION SERVICES	0000030200000000	9,770	9,770	19,540	18,175	4,543	4,544	4,543	4,545	18,175				
Registration, Compliance-Monitoring and Enforcement	000003020100000	9,770	9,770	19,540	18,175	4,543	4,544	4,543	4,545	18,175				
Registration/licensing of corporations, capital market participants, securities and investment instruments	101003020100001	7,376	7,376	14,752	13,830	3,457	3,458	3,457	3,458	13,830				
PS		7,376	7,376	14,752	13,830	3,457	3,458	3,457	3,458	13,830				
Impositions of enforcement actions														


against errant entities subjected to compliance-monitoring and investigative activities	101003020100003	2,394	2,394	4,788	4,345	1,086	1,086	1,086	1,087	4,345				
PS		2,394	2,394	4,788	4,345	1,086	1,086	1,086	1,087	4,345				
Recapitulation by MFO:		69,586	30,497	100,083	280,417	66,321	74,507	66,321	73,268	280,417				
MFO 1: CORPORATE AND CAPITAL MARKET DEVELOPMENT SERVICES	000003010000000	1,875	626	2,501	35,729	8,931	8,931	8,931	8,936	35,729				
MFO 2: CORPORATE AND CAPITAL MARKET REGULATION SERVICES	000003020000000	67,711	29,871	97,582	244,688	57,390	65,576	57,390	64,332	244,688				

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