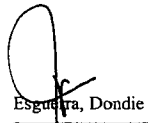


QUARTERLY REPORT OF REVENUE AND OTHER RECEIPTS
As of the Quarter Ending December 31, 2016
(In Pesos)

Department: Department of Finance (DOF)			Agency: Securities and Exchange Commission										
Operating Unit: N/A			Organization Code (UACS): 11011000000										
CLASSIFICATION / SOURCES OF REVENUE AND OTHER RECEIPTS	UACS Code	REVENUE TARGET (Annual)	ACTUAL REVENUE AND OTHER RECEIPTS COLLECTIONS					CUMULATIVE REMITTANCE / DEPOSITS TO DATE			VARIANCE		REMARKS
			1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	TOTAL	Remittance to BTr	Deposited with AGDB	Total	Amount	%	
1	2	3	4	5	6	7	8=(4+5+6+7)	9	10	11=(9+10)	12=(8-3)	13=(12/3)	14
General Fund (formerly Fund 101)													
Government Equity	3010101000			6,690,935.72				6,690,935.72	6,690,935.72		6,690,935.72	6,690,935.72	
Registration Fees	4020102000	1,646,341,000.00	500,435,069.48	454,745,857.07	659,044,530.45	737,107,369.24	2,351,332,826.24	2,246,278,381.53		2,246,278,381.53	704,991,826.24	0.43	
Licensing Fees	4020106000	27,737,000.00	4,679,270.88	6,903,756.78	26,610,202.75	38,896,075.59	77,089,306.00	76,946,471.45		76,946,471.45	49,352,306.00	1.78	
Fines and Penalties - Service Income	4020114000	209,293,000.00	38,411,750.02	39,301,899.46	47,451,980.86	38,019,867.89	163,185,498.23	162,380,061.45		162,380,061.45	(46,107,501.77)	-0.22	
Other Service Income	4020199099	252,707,000.00	113,971,058.08	13,131,394.76	110,269,288.45	13,951,017.88	251,322,768.17	251,194,923.57		251,194,923.57	(1,384,230.83)	-0.01	
Special Account in the General Fund (formerly Fund 105, 183, 401, 151-159)													
Off-Budget Accounts (formerly Fund 161 to 164, etc.)													
Registration Fees	4020102000	82,200,000.00	6,000,000.00	45,750,000.00	30,450,000.00		82,200,000.00		82,200,000.00	82,200,000.00			
Fines and Penalties - Service Income	4020114000	11,700,000.00	1,200,000.00	5,750,000.00	4,750,000.00		11,700,000.00		11,700,000.00	11,700,000.00			
Other Service Income	4020199099	6,100,000.00	2,700,000.00	2,000,000.00	1,400,000.00		6,100,000.00		6,100,000.00	6,100,000.00			
Custodial Funds (formerly Fund 101-184, 187)													
Trust Liabilities	2040101000		5,305,825.57	5,247,301.37	7,260,794.57	7,838,668.79	25,652,590.30	24,602,918.04		24,602,918.04	25,652,590.30		
Guaranty/Security Deposits Payable	2040104000			703.30		325,577.50	326,280.80	326,280.80		326,280.80	326,280.80		
Seminar/Training Fees	4020204000		1,348,352.48	1,791,201.00	1,472,500.00	237,500.00	4,849,553.48		4,849,553.48	4,849,553.48	4,849,553.48		
Rent/Lease Income	4020205000		2,314,183.32	2,314,183.32	578,545.83	1,735,637.49	6,942,549.96	1,735,637.49	5,206,912.47	6,942,549.96	6,942,549.96		
TOTAL		2,236,078,000.00	676,365,519.83	583,627,232.78	889,287,842.91	838,111,714.38	2,987,392,309.90	2,770,155,610.05	110,056,465.95	2,880,212,076.00	751,314,309.90	0.34	

Certified Correct:


 Esquivel, Dondie

Agency Chief Accountant

Date: 09/Feb/2017

Approved By:


 Herbosa, Teresita

Head of Agency/Department Secretary

Date: 10/Feb/2017