

Republic of the Philippines Department of Finance Securities And Exchange Commission SEC Building, EDSA Mandaluyong City

Economic Research and Training Department

SEPTEMBER 5, 2014 LIST OF PASSERS

| Name of Examinee | Certification Program |
|---------------------------------------|-------------------------------------------------|
| ABRIL, BERNARD JOHN BARISO | INVESTMENT COMPANY REPRESENTATIVE CERTIFICATION |
| AUSTRIA, RAMON ARVIN CONCEPCION | INVESTMENT COMPANY REPRESENTATIVE CERTIFICATION |
| BICOL, NELIA BRIONES | INVESTMENT COMPANY REPRESENTATIVE CERTIFICATION |
| DEL ROSARIO, CAITRIN LARISSA DOMINI S | SECURITIES REPRESENTATIVE CERTIFICATION |
| MANUEL, AMALIA LOURDES R | ASSOCIATED PERSON MODULE 2 EXAMINATION |
| MERCADO, RUMEL MESINA | INVESTMENT COMPANY REPRESENTATIVE CERTIFICATION |
| OCAMPO, ADRIAN REYES | INVESTMENT COMPANY REPRESENTATIVE CERTIFICATION |
| ROMAN, CHRISTIAN HIZON | INVESTMENT COMPANY REPRESENTATIVE CERTIFICATION |
| ROMERO, FREDERICK PUNZALAN | INVESTMENT COMPANY REPRESENTATIVE CERTIFICATION |
| SALTING, ERIKA JIMENEZ | INVESTMENT COMPANY REPRESENTATIVE CERTIFICATION |
| VALENA, ROZEN JAKE DOMINGO | INVESTMENT COMPANY REPRESENTATIVE CERTIFICATION |
| VIERNES, RALPH DAVID VERGARA | INVESTMENT COMPANY REPRESENTATIVE CERTIFICATION |

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Noted by:

Director ERTD