

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET PROFESSIONALS**

**INVESTMENT HOUSE
ENGAGED IN DEALING GOVERNMENT SECURITIES
NEW REGISTRANTS**

#	CR #	NAME	TYPE
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SEPTEMBER 2017

		CHINA BANK CAPITAL CORPORATION	
1	02-2014-09269	BALISTA, JYKA AICITEL MARIE PICHAY	FIMS
2	02-2009-03476	CHUA, GRACE TAN	FIMS
3	02-2017-13035	COLET, JUAN PAOLO	FIMS
01-2008-00211		FIRST METRO INVESTMENT, INC.	
1	02-2010-04626	OCAMPO, JUDILYN SANTOS	FIMS

AUGUST 2017

01-2008-00211		FIRST METRO INVESTMENT, INC.	
1	02-2013-08571	CUEVAS, MA. CHERIE-ANN REYES	FIMS
2	02-2016-11825	GARCIA, JUAN GABRIEL GALANG	FIMS

JULY 2017

		NONE	
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JUNE 2017

01-2008-00219		BDO CAPITAL & INVESTMENT CORPORATION	
1	02-2017-12881	AQUINO, CAMILLE JASMINE DELA PASION	FIMS
2	02-2017-12879	CABATO, JERROLD MALIMBAN	FIMS
3	02-2017-12877	CLAPAROLS, ANDRES MANUEL PANLILIO	FIMS
4	02-2013-08038	GOMEZ, VICENTE MARTIN LOPEZ	FIMS
5	02-2017-12880	LIMCAOCO, RAMON ANTONIO ZAPIRAIN	FIMS
6	02-2017-12878	NADAL, GABRIEL PHILIP PEÑAS	FIMS

MAY 2017

01-2008-00210		BPI CAPITAL CORPORATION	
1	02-2011-06236	DAPLAS, JOANNE MALUTO	FIMS
01-2004-00161		MULTINATIONAL INVESTMENT BANCORPORATION	
1	02-2017-12708	ESTACIO, WENDY BANZUELA	FIMS
2	02-2017-12709	REGALA, ANA BERNADETTE ANDAL	FIMS

APRIL 2017

		NONE	
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MARCH 2017

01-2015-00279		CHINA BANK CAPITAL CORPORATION	
1	02-2014-09269	BALISTA, JYKA AICITEL MARIE PICHAY	FIMS

FEBRUARY 2017

01-2008-00219		BDO CAPITAL & INVESTMENT CORPORATION	
1	02-2017-12593	DE JOYA, BETTINA MAREE MARTINEZ	FIMS
2	02-2011-06067	MENDOZA-TAJANAN, PAULA REYA DOMINGO	S
3	02-2009-04120	TSAI, BERNHARD ALOYSIUS GO	S

JANUARY 2017

01-2008-00219		BDO CAPITAL & INVESTMENT CORPORATION	
1	02-2011-05671	CRUZ, KATRINA ANN BARCIA	FIMS