

## COMPANY REGISTRATION AND MONITORING DEPARTMENT

### LIST OF CAPITAL MARKET INSTITUTIONS WITH CONFIRMATION OF PAYMENT OF ANNUAL FEES FOR THE YEAR 2017

#### INVESTMENT HOUSES ENGAGED IN DEALING GOVERNMENT SECURITIES

#	CR NO.	NAME OF COMPANY	Functions*
1	01-2008-00209	AB CAPITAL & INVESTMENT CORPORATION	BD
2	01-2008-00215	AMALGAMATED INVESTMENT BANCORPORATION	B
3	01-2008-00219	BDO CAPITAL & INVESTMENT CORPORATION	BD
4	01-2008-00210	BPI CAPITAL CORPORATION	BD
5	01-2015-00279	CHINA BANK CAPITAL CORPORATION	BD
6	01-2008-00211	FIRST METRO INVESTMENT CORPORATION ●BACOLOD CITY ●BINONDO, MANILA CITY ●CAGAYAN DE ORO CITY ●CEBU CITY ●DAVAO CITY ●ILOILO CITY	BD
7	01-2004-00161	MULTINATIONAL INVESTMENT BANCORPORATION	BD
8	01-2008-00232	PHILIPPINE COMMERCIAL CAPITAL, INC.	BD
9	01-2008-00212	RCBC CAPITAL CORPORATION	BD
10	01-2008-00239	UNICAPITAL, INC.	D

\* BD = with Broker Dealer functions; B = with Broker functions; D = with Dealer functions