

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET PROFESSIONALS**

**UNDERWRITERS OF SECURITIES
ENGAGED IN DEALING GOVERNMENT SECURITIES
NEW REGISTRANTS**

#	CR #	NAME	TYPE
---	------	------	------

SEPTEMBER 2016

01-2008-00241		CHINA BANKING CORPORATION	
1	02-2011-05787	TAN, MARY GRACE CHUA	FIMS
01-2008-00243		LAND BANK OF THE PHILIPPINES	
1	02-2016-12397	GONZALES, RAMON JERICO RAMIREZ	FIMS
2	02-2016-12396	GREGORIO, ANALIZA MACEDA	FIMS
3	02-2016-12398	RAMOS, LADY ROSELEEN BARTOLOME	FIMS
01-2008-00245		THE HONGKONG AND SHANGHAI BANKING CORPORATION	
1	02-2009-04232	BANTA JR, ALFONSO QUIAMBAO	FIMS
2	02-2013-07998	NAVARRO, RHEENA MAÑIBO	FIMS
3	02-2011-05550	TINSAY, CATHERINE ROJAS	FIMS
01-2008-00246		UNIONBANK OF THE PHILIPPINES	
1	02-2016-12428	GASTON, CECILE MA. THERESA GADDI	FIMS
2	02-2016-12429	SESBREÑO, MARILOU CADDAUAN	FIMS

AUGUST 2016

01-2008-00241		CHINA BANKING CORPORATION	
1	02-2009-02964	FERNANDEZ, JOSEFA SORIANO	FIMS
2	02-2011-05560	TAN, MARIA LUISA BUENO	FIMS
01-2008-00187		EAST WEST BANKING CORP.	
1	02-2009-03208	DE OCAMPO, VICTOR JOVENAL PUJOL	FIMS
2	02-2009-03832	JUMAO-AS, KRIS OLIVER PESONS	FIMS
3	02-2009-03006	SAMONTE, JOFEL KRISTINE MARIE ROCHA	FIMS
01-2008-00245		THE HONGKONG AND SHANGHAI BAKING CORPORATION	
1	02-2009-03404	DY, DENNIS UY	FIMS
2	02-2011-06143	VILLANUEVA, MAXINE ANNE CHUA	FIMS
01-2008-00247		UNITED COCONUT PLANTERS BANK	
1	02-2016-12384	ALIMAGNO, RENE ARTILLAGA	FIMS
2	02-2016-12347	ALVAREZ, CHESTER TIMOTHY MARIANO	FIMS
3	02-2016-12380	BAUTISTA, JOCELYN DELA CRUZ	FIMS
4	02-2016-12381	CATOLOS, ROWENA ZUASULA	FIMS
5	02-2016-12349	DELA CRUZ, MERLINE SANTOS	FIMS
6	02-2016-12350	GAMIL, CHARLYN BULLECER	FIMS
7	02-2016-12346	GARDE, JOSEPHINE ARANDA	FIMS

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET PROFESSIONALS**

**UNDERWRITERS OF SECURITIES
ENGAGED IN DEALING GOVERNMENT SECURITIES
NEW REGISTRANTS**

#	CR #	NAME	TYPE
---	------	------	------

SEPTEMBER 2016

01-2008-00241		CHINA BANKING CORPORATION	
1	02-2011-05787	TAN, MARY GRACE CHUA	FIMS
01-2008-00243		LAND BANK OF THE PHILIPPINES	
1	02-2016-12397	GONZALES, RAMON JERICO RAMIREZ	FIMS
2	02-2016-12396	GREGORIO, ANALIZA MACEDA	FIMS
3	02-2016-12398	RAMOS, LADY ROSELEEN BARTOLOME	FIMS
01-2008-00245		THE HONGKONG AND SHANGHAI BANKING CORPORATION	
1	02-2009-04232	BANTA JR, ALFONSO QUIAMBAO	FIMS
2	02-2013-07998	NAVARRO, RHEENA MAÑIBO	FIMS
3	02-2011-05550	TINSAY, CATHERINE ROJAS	FIMS
01-2008-00246		UNIONBANK OF THE PHILIPPINES	
1	02-2016-12428	GASTON, CECILE MA. THERESA GADDI	FIMS
2	02-2016-12429	SESBREÑO, MARILOU CADDAUAN	FIMS

8	02-2016-12382	GUEVARA, MERCEDITAS ASUMPTION ANDRADA	FIMS
9	02-2016-12386	MAGAHIN, AGNES RODRIGUEZ	FIMS
10	02-2016-12385	MAGNO JR, MERVYN NICASIO MORAN	FIMS
11	02-2016-12348	MALLARI, IVY IGNACIO	FIMS
12	02-2016-12263	MAROLLANO, MELODY MIFA	FIMS
13	02-2016-12388	MASA, VIRGINIA DELA ROSA	FIMS
14	02-2016-12379	PANGILINAN, JESSICA SALVADOR	FIMS
15	02-2016-12383	PALISOC, JODELYN ASCRATE	FIMS
16	02-2016-12387	RAMOSO, CRISTINA SEGOVIA	FIMS
17	02-2016-12351	SANTOS, MARIA THERESA CARRASCO	FIMS
18	02-2016-12345	UICHICO-GUTIERREZ, ALEXANDRA JOELLE VASQUEZ	FIMS
19	02-2016-12262	VERGARA, ANGELO MANUEL	FIMS
20	02-2016-12352	YAP, DOREEN CANIVEL	FIMS

JULY 2016

01-2008-00186		DEUTSCHE BANK AG	
1	02-2009-03658	JAVILLONAR, EMIR FRANCIS DE CASTRO	FIMS
01-2008-00187		EAST WEST BANKING CORP.	
1	02-2013-07715	SO, AINA CHAN	FIMS

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET PROFESSIONALS**

**UNDERWRITERS OF SECURITIES
ENGAGED IN DEALING GOVERNMENT SECURITIES
NEW REGISTRANTS**

#	CR #	NAME	TYPE
---	------	------	------

SEPTEMBER 2016

01-2008-00241		CHINA BANKING CORPORATION	
1	02-2011-05787	TAN, MARY GRACE CHUA	FIMS
01-2008-00243		LAND BANK OF THE PHILIPPINES	
1	02-2016-12397	GONZALES, RAMON JERICO RAMIREZ	FIMS
2	02-2016-12396	GREGORIO, ANALIZA MACEDA	FIMS
3	02-2016-12398	RAMOS, LADY ROSELEEN BARTOLOME	FIMS
01-2008-00245		THE HONGKONG AND SHANGHAI BANKING CORPORATION	
1	02-2009-04232	BANTA JR, ALFONSO QUIAMBAO	FIMS
2	02-2013-07998	NAVARRO, RHEENA MAÑIBO	FIMS
3	02-2011-05550	TINSAY, CATHERINE ROJAS	FIMS
01-2008-00246		UNIONBANK OF THE PHILIPPINES	
1	02-2016-12428	GASTON, CECILE MA. THERESA GADDI	FIMS
2	02-2016-12429	SESBREÑO, MARILOU CADDAUAN	FIMS

01-2008-00244		STANDARD CHARTERED BANK	
1	02-2012-06991	GARCIA, JAZMINE COSTALES	FIMS
01-2008-00245		THE HONGKONG AND SHANGHAI BAKING CORPORATION	
1	02-2016-12166	TAN, MAIKA CHARISSE WEE	FIMS
01-2008-00247		UNITED COCONUT PLANTERS BANK	
1	02-2016-12184	CARMELO, LIWAYWAY OSMENA	FIMS
2	02-2016-12187	ECHAVEZ, LAURA TUNGOL	FIMS
3	02-2016-12168	FERNANDO, JOSE LUIS ANG	FIMS
4	02-2016-12185	GLINOFRIA, LUZETTE LIMJOCO	FIMS
5	02-2016-12167	GOMEZ, VITTORIO RAUL MANIKAN	FIMS
6	02-2016-12186	MIGUEL, KIM GO	FIMS

JUNE 2016

01-2008-00187		EAST WEST BANKING CORP.	
1	02-2016-12099	DURENDEZ, RAYANNA DESIDERIO	FIMS

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET PROFESSIONALS**

**UNDERWRITERS OF SECURITIES
ENGAGED IN DEALING GOVERNMENT SECURITIES
NEW REGISTRANTS**

#	CR #	NAME	TYPE
---	------	------	------

SEPTEMBER 2016

01-2008-00241		CHINA BANKING CORPORATION	
1	02-2011-05787	TAN, MARY GRACE CHUA	FIMS
01-2008-00243		LAND BANK OF THE PHILIPPINES	
1	02-2016-12397	GONZALES, RAMON JERICO RAMIREZ	FIMS
2	02-2016-12396	GREGORIO, ANALIZA MACEDA	FIMS
3	02-2016-12398	RAMOS, LADY ROSELEEN BARTOLOME	FIMS
01-2008-00245		THE HONGKONG AND SHANGHAI BANKING CORPORATION	
1	02-2009-04232	BANTA JR, ALFONSO QUIAMBAO	FIMS
2	02-2013-07998	NAVARRO, RHEENA MAÑIBO	FIMS
3	02-2011-05550	TINSAY, CATHERINE ROJAS	FIMS
01-2008-00246		UNIONBANK OF THE PHILIPPINES	
1	02-2016-12428	GASTON, CECILE MA. THERESA GADDI	FIMS
2	02-2016-12429	SESBREÑO, MARILOU CADDAUAN	FIMS

01-2008-00245		THE HONGKONG AND SHANGHAI BAKING CORPORATION	
1	02-2016-12130	DE LEON, CHRISTINE MANALOTO	FIMS
2	02-2016-12129	TENSUAN, EMMANUEL FERNANDO BANSON	FIMS
01-2008-00246		UNIONBANK OF THE PHILIPPINES	
1	02-2016-12154	CIMAGALA, MARICAR NONATO	FIMS
01-2008-00247		UNITED COCONUT PLANTERS BANK	
1	02-2016-12148	ANTONIO, CATHERINE CATU	FIMS
2	02-2016-12141	BALAO, LOURDELINO BARCARSE	FIMS
3	02-2016-12145	BAROLO, GEMMA PALAD	FIMS
4	02-2016-12152	BASILIO, JORMANDITA TAYAG	FIMS
5	02-2016-12138	BELTRAN, MARIEL BRONDIAL	FIMS
6	02-2016-12149	FAMENIA, EDWIN LOZANO	FIMS
7	02-2016-12143	GAMBOA, YOLANDA SALVADOR	FIMS
8	02-2016-12139	GARCIA, GENE BETH SALAZAR	FIMS
9	02-2016-12146	JUAN, ERWIN MORALES	FIMS
10	02-2016-12136	VINLUAN, CANDY MIRANDA	FIMS
11	02-2016-12140	MALIGAYA, JONATHAN MORALES	FIMS
12	02-2016-12137	ORTULA, CAROLYN COLETO	FIMS
13	02-2016-12151	POTATO, JOYCELYN PEREZ	FIMS
14	02-2016-12150	ROBINOL, ROLANDO VALENCIA	FIMS
15	02-2016-12147	SILVESTRE, LESLIE LAZARTE	FIMS

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET PROFESSIONALS**

**UNDERWRITERS OF SECURITIES
ENGAGED IN DEALING GOVERNMENT SECURITIES
NEW REGISTRANTS**

#	CR #	NAME	TYPE
---	------	------	------

SEPTEMBER 2016

01-2008-00241		CHINA BANKING CORPORATION	
1	02-2011-05787	TAN, MARY GRACE CHUA	FIMS
01-2008-00243		LAND BANK OF THE PHILIPPINES	
1	02-2016-12397	GONZALES, RAMON JERICO RAMIREZ	FIMS
2	02-2016-12396	GREGORIO, ANALIZA MACEDA	FIMS
3	02-2016-12398	RAMOS, LADY ROSELEEN BARTOLOME	FIMS
01-2008-00245		THE HONGKONG AND SHANGHAI BANKING CORPORATION	
1	02-2009-04232	BANTA JR, ALFONSO QUIAMBAO	FIMS
2	02-2013-07998	NAVARRO, RHEENA MAÑIBO	FIMS
3	02-2011-05550	TINSAY, CATHERINE ROJAS	FIMS
01-2008-00246		UNIONBANK OF THE PHILIPPINES	
1	02-2016-12428	GASTON, CECILE MA. THERESA GADDI	FIMS
2	02-2016-12429	SESBREÑO, MARILOU CADDAUAN	FIMS

16	02-2016-12144	TOME JR, AUDIE ADVINCULA	FIMS
17	02-2016-12142	VELASCO, RIZALINA CHUA	FIMS
18	02-2016-12153	VICTORINO, MARIA MARISSA TAYAO	FIMS

MAY 2016

01-2008-00214		CHINA BANKING CORPORATION	
1	02-2016-11977	ANG, DANICA LOVELY CONTRERAS	FIMS
2	02-2009-03387	CABUNGCAL, FILEMON CECILIO ANEL	FIMS
3	02-2016-11973	CASTILLO, DONNABELLA DOMINGO	FIMS
4	02-2016-11972	CHAN, IRENE CHING	FIMS
5	02-2016-11970	ILARDE, RAFAEL FERNANDEZ	FIMS
6	02-2016-11978	JARDINIANO, EVELYN TOLENTION	FIMS
7	02-2016-11976	MAGSAYSAY, MARIO NICOLAS LIAO	FIMS
8	02-2016-11975	ONG, ELECTO VICTOR ABUBAKAR	FIMS
9	02-2016-11971	SAN DIEGO, FRANCIS BRYAN TALPLACIDO	FIMS
10	02-2016-11974	ZOLETA, VICTOR IAN CAMIA	FIMS
01-2008-00187		EAST WEST BANKING CORP.	
1	02-2014-09427	ANG, ROOSEVELT NELSON SY	FIMS
2	02-2012-07264	DELA PAZ, IRENE CAMILLE PARADA	FIMS
3	02-2016-11937	FERNANDEZ, MICHAELA DELFIN	FIMS

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET PROFESSIONALS**

**UNDERWRITERS OF SECURITIES
ENGAGED IN DEALING GOVERNMENT SECURITIES
NEW REGISTRANTS**

#	CR #	NAME	TYPE
---	------	------	------

SEPTEMBER 2016

01-2008-00241		CHINA BANKING CORPORATION	
1	02-2011-05787	TAN, MARY GRACE CHUA	FIMS
01-2008-00243		LAND BANK OF THE PHILIPPINES	
1	02-2016-12397	GONZALES, RAMON JERICO RAMIREZ	FIMS
2	02-2016-12396	GREGORIO, ANALIZA MACEDA	FIMS
3	02-2016-12398	RAMOS, LADY ROSELEEN BARTOLOME	FIMS
01-2008-00245		THE HONGKONG AND SHANGHAI BANKING CORPORATION	
1	02-2009-04232	BANTA JR, ALFONSO QUIAMBAO	FIMS
2	02-2013-07998	NAVARRO, RHEENA MAÑIBO	FIMS
3	02-2011-05550	TINSAY, CATHERINE ROJAS	FIMS
01-2008-00246		UNIONBANK OF THE PHILIPPINES	
1	02-2016-12428	GASTON, CECILE MA. THERESA GADDI	FIMS
2	02-2016-12429	SESBREÑO, MARILOU CADDAUAN	FIMS

01-2008-00244		STANDARD CHARTERED BANK	
1	02-2012-06580	ALBANO, LORRAINE ANNE LUMANGAYA	FIMS
2	02-2016-12030	ESGUERRA, JOSEPH IAN MARCELO	FIMS
01-2008-00246		UNIONBANK OF THE PHILIPPINES	
1	02-2016-11957	FAUSTO, JOSE ENRIQUE FRES	FIMS
2	02-2016-11958	MENDOZA, CHRISTOPHER JUSTINE AVANCEÑA	FIMS
01-2008-00247		UNITED COCONUT PLANTERS BANK	
1	02-2016-11786	ENDRANO, EUNICE RAÑADA	FIMS

APRIL 2016

01-2008-00176		ASIA UNITED BANK CORPORATION	
1	02-2016-11829	KHO, LAUREN CASSANDRA UMayAM	FIMS
01-2005-00164		DEVELOPMENT BANK OF THE PHILIPPINES	
1	02-2016-11832	ARANDIA, LARAINNE ANNE ESCUSA	FIMS

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET PROFESSIONALS**

**UNDERWRITERS OF SECURITIES
ENGAGED IN DEALING GOVERNMENT SECURITIES
NEW REGISTRANTS**

#	CR #	NAME	TYPE
---	------	------	------

SEPTEMBER 2016

01-2008-00241		CHINA BANKING CORPORATION	
1	02-2011-05787	TAN, MARY GRACE CHUA	FIMS
01-2008-00243		LAND BANK OF THE PHILIPPINES	
1	02-2016-12397	GONZALES, RAMON JERICO RAMIREZ	FIMS
2	02-2016-12396	GREGORIO, ANALIZA MACEDA	FIMS
3	02-2016-12398	RAMOS, LADY ROSELEEN BARTOLOME	FIMS
01-2008-00245		THE HONGKONG AND SHANGHAI BANKING CORPORATION	
1	02-2009-04232	BANTA JR, ALFONSO QUIAMBAO	FIMS
2	02-2013-07998	NAVARRO, RHEENA MAÑIBO	FIMS
3	02-2011-05550	TINSAY, CATHERINE ROJAS	FIMS
01-2008-00246		UNIONBANK OF THE PHILIPPINES	
1	02-2016-12428	GASTON, CECILE MA. THERESA GADDI	FIMS
2	02-2016-12429	SESBREÑO, MARILOU CADDAUAN	FIMS

01-2008-00187		EAST WEST BANKING CORP.	
1	02-2016-11865	MARCELINO, MARTIN LUIGI LICOP	FIMS
01-2008-00245		THE HONGKONG AND SHANGHAI BAKING CORPORATION	
1	02-2012-07196	KEE, KRISTINE MARIE CRUZ	FIMS
01-2008-00246		UNIONBANK OF THE PHILIPPINES	
1	02-2009-03956	RAMOS, ROBERT ROL BARBERO	FIMS
2	02-2009-03957	SAMPEDRO, JOCELYN LAGASO	FIMS
01-2008-00247		UNITED COCONUT PLANTERS BANK	
1	02-2016-11785	CRISTOBAL, LUCIA AUSTIN VILLAREAL	FIMS
2	02-2016-11787	VESTIL, JO ANN ABAD	FIMS

MARCH 2016

01-2008-00187		EAST WEST BANKING CORP.	
1	02-2008-02547	AZARCON, ROSA MAE ARANETA	FIMS
2	02-2015-10754	RAMOS, ERIKA KRISHA ANGELES	FIMS

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET PROFESSIONALS**

**UNDERWRITERS OF SECURITIES
ENGAGED IN DEALING GOVERNMENT SECURITIES
NEW REGISTRANTS**

#	CR #	NAME	TYPE
---	------	------	------

SEPTEMBER 2016

01-2008-00241		CHINA BANKING CORPORATION	
1	02-2011-05787	TAN, MARY GRACE CHUA	FIMS
01-2008-00243		LAND BANK OF THE PHILIPPINES	
1	02-2016-12397	GONZALES, RAMON JERICO RAMIREZ	FIMS
2	02-2016-12396	GREGORIO, ANALIZA MACEDA	FIMS
3	02-2016-12398	RAMOS, LADY ROSELEEN BARTOLOME	FIMS
01-2008-00245		THE HONGKONG AND SHANGHAI BANKING CORPORATION	
1	02-2009-04232	BANTA JR, ALFONSO QUIAMBAO	FIMS
2	02-2013-07998	NAVARRO, RHEENA MAÑIBO	FIMS
3	02-2011-05550	TINSAY, CATHERINE ROJAS	FIMS
01-2008-00246		UNIONBANK OF THE PHILIPPINES	
1	02-2016-12428	GASTON, CECILE MA. THERESA GADDI	FIMS
2	02-2016-12429	SESBREÑO, MARILOU CADDAUAN	FIMS

01-2008-00243		LAND BANK OF THE PHILIPPINES	
1	02-2016-11652	CANENCIA, LORRAINE ESGUERRA	FIMS
2	02-2016-11650	DEALA, DEWIE MAE PADOGINOG	FIMS
3	02-2016-11651	HERNANDEZ, IMMA MARIE TEVES	FIMS
4	02-2016-11653	LIMBOC, GRACE DUMAGUING	FIMS

FEBRUARY 2016

01-2009-00255		AUSTRALIA AND NEW ZEALAND BANKING GROUP LIMITED	
1	02-2016-11530	GUANZON JR, ANTONIO ARAMBULO	FIMS
01-2008-00187		EAST WEST BANKING CORP.	
1	02-2011-05724	PETALLANO, HOMER DOBLE	FIMS
01-2008-00244		STANDARD CHARTERED BANK	
1	02-2016-11611	MARAÑON, PAOLO JOAQUIN CASIÑO	FIMS
2	02-2016-11612	PARIS, THEODORE IVAN ROMERO	FIMS

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET PROFESSIONALS**

**UNDERWRITERS OF SECURITIES
ENGAGED IN DEALING GOVERNMENT SECURITIES
NEW REGISTRANTS**

#	CR #	NAME	TYPE
---	------	------	------

SEPTEMBER 2016

01-2008-00241		CHINA BANKING CORPORATION	
1	02-2011-05787	TAN, MARY GRACE CHUA	FIMS
01-2008-00243		LAND BANK OF THE PHILIPPINES	
1	02-2016-12397	GONZALES, RAMON JERICO RAMIREZ	FIMS
2	02-2016-12396	GREGORIO, ANALIZA MACEDA	FIMS
3	02-2016-12398	RAMOS, LADY ROSELEEN BARTOLOME	FIMS
01-2008-00245		THE HONGKONG AND SHANGHAI BANKING CORPORATION	
1	02-2009-04232	BANTA JR, ALFONSO QUIAMBAO	FIMS
2	02-2013-07998	NAVARRO, RHEENA MAÑIBO	FIMS
3	02-2011-05550	TINSAY, CATHERINE ROJAS	FIMS
01-2008-00246		UNIONBANK OF THE PHILIPPINES	
1	02-2016-12428	GASTON, CECILE MA. THERESA GADDI	FIMS
2	02-2016-12429	SESBREÑO, MARILOU CADDAUAN	FIMS

01-2008-00245		THE HONGKONG AND SHANGHAI BAKING CORPORATION	
1	02-2016-11632	ABELLO, ROLANDO DEL ROSARIO	FIMS
2	02-2016-11633	PRATS, JOAQUIN IGNACIO BLANCH	FIMS

JANUARY 2016

		NONE	
--	--	------	--