

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET PROFESSIONALS**

**DEALER IN SECURITIES
NEW REGISTRANTS**

#	CR #	NAME	TYPE
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SEPTEMBER 2016

		NONE	
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AUGUST 2016

		NONE	
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JULY 2016

		NONE	
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JUNE 2016

		NONE	
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MAY 2016

		NONE	
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APRIL 2016

		NONE	
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MARCH 2016

		NONE	
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FEBRUARY 2016

		NONE	
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JANUARY 2016

		NONE	
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