

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET PROFESSIONALS
WITH CONFIRMATION OF PAYMENT OF ANNUAL FEES FOR 2016**

BROKER IN SECURITIES

#	CR #	NAME	TYPE
<u>A & A SECURITIES, INC. (01-2004-00001)</u>			
1	02-2013-08352	GO, ZHAINEE ROSE SUNICO	AP
2	02-2015-10497	BANGAYAN, JASON YOUNG	S
3	02-2004-00076	BANGAYAN, SHIRLEY YOUNG	S
4	02-2004-00077	BANGAYAN, WILSON ALVAREZ	S
5	02-2004-00087	BATE, CESAR LUIS FABELLA	S
6	02-2004-00131	CALMA, JOYSEN SOPHIA TAGUBA	S
7	02-2015-10498	CHAN, CINDY THERESE BANGAYAN	S
8	02-2004-00308	ELA JR, TEODORO SISON	S
9	02-2004-00341	GAN, RUBEN MANIEGO	S
10	02-2004-00376	GO, CLARIBEL RODRIGUEZ	S
11	02-2004-01611	MALABANAN, DON SORIANO	S
12	02-2004-00714	RODRIGUEZ SR, LUCIO ANG	S
13	02-2004-00715	TAN, SUSANA CHUA	S
14	02-2004-00955	ZAFRA, HELEN YOUNG	S
<u>ASIA PACIFIC CAPITAL EQUITIES AND SECURITIES CORPORATION (01-2004-00015)</u>			
1	02-2012-07181	MANGABAT, ELENA ALVIS	AP
2	02-2012-06917	SAN GABRIEL, ELMER LOZA	AP
3	02-2004-00124	CABOCHENG, JANET GO	S
4	02-2004-00178	CHUA, ANTHONY ONG	S
5	02-2004-00179	CHUA, DAVID ONG	S
6	02-2004-00237	CUA, MARIANO TY	S
7	02-2004-00239	CUA, MICHAEL ONG	S
8	02-2011-06158	MAYUGA JR., MARIO FANDINO	S
9	02-2004-00632	ONG, PATERNO HONG	S
10	02-2004-00880	VALENTIN, CARL IANCH VISCOUNT WEE	S
<u>CITICORP FINANCIAL SERVICES AND INSURANCE BROKERAGE PHILIPPINES, INC. (01-2004-00031)</u>			
1	02-2007-02227	LIPANA, KATHERINE TEVES	AP
2	02-2011-05415	ABRIL, EDSEL ESCONDO	FIMS
3	02-2015-11171	ARANAS, JONALYN BALAGTAS	FIMS
4	02-2010-05043	BALDOSTAMON, LEAR BALABA	FIMS
5	02-2014-08659	BERNAL, KATHERINE ANN TAN	FIMS
6	02-2012-06888	BEST JR, MICHAEL LAUNIO	FIMS
7	02-2010-04497	BRINÁS, CHERRIE ANNE ESTIVA	FIMS
8	02-2011-05865	BUMANGLAG, SUZETTE TINIO	FIMS
9	02-2009-03172	CASIMPAN, NOVA ABELLANA	FIMS
10	02-2009-03218	CERVANTES, MARIA ROSARIO GERALDINE FESTEJO	FIMS
11	02-2009-03194	CHUA, JEAN-LYNN SY	FIMS
12	02-2009-03477	CHUA, ROANNA JOY YAP	FIMS
13	02-2009-03197	CLAUSTRO, MARIE FRANCESCA BLAS	FIMS
14	02-2010-04930	COCHING, CHRISTIAN JUDE TINAPAY	FIMS
15	02-2009-03201	CRUZ, SER LESTER ACUÑA	FIMS
16	02-2009-03203	CU, JEROME CO	FIMS

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17	02-2010-04926	DELA CRUZ, JOY KERWIN UY	FIMS
18	02-2006-01997	ESPAÑOL, MARTIN ANTONIO LIMJAP	FIMS
19	02-2009-04195	FERRER, CRISTINA NICOLE SIPACO	FIMS
20	02-2013-08069	GALANG, MARTIN JOSEPH ZAMORA	FIMS
21	02-2011-05488	GO, JESYLYNE YU	FIMS
22	02-2009-03222	GO, JOLINA CRISOSTOMO	FIMS
23	02-2010-05242	GONZALEZ, ANTONIO JAVIER ITCHON	FIMS
24	02-2009-03140	INES, DANIEL APOSTOL	FIMS
25	02-2014-08666	KHO, MARVIN LAO	FIMS
26	02-2009-03141	LAO, CHRISTOPHER SY	FIMS
27	02-2012-06793	LAZARO, BETHANY JOY MENDOZA	FIMS
28	02-2009-03238	LAZARO, CECILIA FLORENDO	FIMS
29	02-2010-04795	LIM, ROSEMARIE ANNE GAW	FIMS
30	02-2015-11062	LITA, REGINE ALCANTARA	FIMS
31	02-2014-09220	MALACCA, ALYSSA CAMILLE PUATU	FIMS
32	02-2009-03252	NG, ANTONIO GO	FIMS
33	02-2009-02877	PANTANGCO, PETER ANTHONY YUMUL	FIMS
34	02-2009-03241	PAZ, JO ANN LEE	FIMS
35	02-2009-03180	PERALTA, ANGELA BAGUISI	FIMS
36	02-2009-03264	PUNZALAN, CHARLENE DEONIO	FIMS
37	02-2011-06172	SANTIAGO, REGINALD ANGELO HERNANDEZ	FIMS
38	02-2009-03276	SYLING, TIMOTHY MARK LUY	FIMS
39	02-2011-05736	TAN, AVIGEIL CABRILLAS	FIMS
40	02-2009-03244	TAN, JOCELYN LIM	FIMS
41	02-2009-03263	TAN, SHERWIN PRENKSAMAR	FIMS
42	02-2010-04797	TAN, VIVAN MAE CABRILLAS	FIMS
43	02-2015-10244	TO, KENNESIE LIM	FIMS
44	02-2009-03164	TRINIDAD, KAREN ISABEL LIMLINGAN	FIMS
45	02-2009-03289	TY, LESLIE ANN NG	FIMS
46	02-2009-03127	VELASCO, JOHANNA MAE CRUZ	FIMS
47	02-2009-03295	VILLACIN, ROCHELLE VENTURA	FIMS
48	02-2010-04501	VILLAPANDO, MARITESS DENIEGA	FIMS
49	02-2013-07794	YAP, WALTER FRANCIS ANG	FIMS
50	02-2009-03245	ZAMORA, NINA KATRIN ANNE LOPEZ	FIMS
51	02-2015-11172	ZARAGOZA, APRIL RASTRULLO	FIMS
DA MARKET SECURITIES, INC. (01-2004-00038)			
1	02-2004-00129	CALING, FE MIRANDA	AP
2	02-2004-00007	AGUILA, NESTOR SARMIENTO	S
3	02-2008-02536	ALICER, NISHA MA. NIMFA SENO	S
4	02-2013-08465	BISNAR, JOHN CHRISTIAN ODILAO	S
5	02-2010-04401	CHIONGBIAN-HUANG, MARY BRIDGET MUÑOZ	S
6	02-2004-00175	CHUA, VANI LION TIA	S
7	02-2012-07329	CLAPAROLS, JOSE ALEJANDRO PANLILIO	S

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8	02-2015-11325	SALAZAR, ALYSSA MARIE EVANGELISTA	S
DAVID GO SECURITIES CORPORATION (01-2004-00039)			
1	02-2004-00383	GO, ELEANOR CHENG	AP
2	02-2004-00099	BERGONIO, GLORIA BADONG	S
3	02-2004-00174	CHUA, NICASIO GO	S
4	02-2008-02508	GAN, JEREMY CHING	S
5	02-2004-00372	GO, DAVID CHUA	S
6	02-2004-00510	LICHAUCO JR, LUIS NIEVA	S
7	02-2006-02038	LICHAUCO, MIGUEL LUIS ECHAUZ	S
8	02-2004-00856	TORZAR, JULITO TESORERO	S
NEW WORLD SECURITIES CO., INC. (01-2004-00096)			
1	02-2004-00466	KO, LILY CHOA	AP
2	02-2004-00171	CHU, JAMES YANG	S
3	02-2004-00170	CHU, JEANETTE YANG	S
4	02-2004-00464	KO, KING SY	S
5	02-2004-00889	VELASQUEZ, RONALD DELA PENA	S
TANSENGCO & COMPANY, INC. (01-2004-00130)			
1	02-2004-00829	TANSENGCO, RAYMOND CHOA	AP
2	02-2004-00023	ALUMNO, LORENZO QUIMSON	S
3	02-2015-11449	BAUTISTA, GABRIEL JUSON	S
4	02-2004-00830	TANSENGCO, CARMEN ONG	S
YAPSTER E-TRADE, INC. (01-2004-00148)			
1	02-2009-02805	YAP, CHASE LEONARD SO	AP
2	02-2004-00155	CERDENIA, MARY GRACE CRISOSTOMO	S
3	02-2004-00931	YAP, ABIGAIL KING	S
4	02-2015-10133	YAP, FERDINAND YU	S