COMPANY REGISTRATION AND MONITORING DEPARTMENT LIST OF CAPITAL MARKET INSTITUTIONS

INVESTMENT COMPANY ADVISERS NEW REGISTRANTS

#	CR #	NAME
September 2016		
		NONE
		NONE
August 2016		
		NONE
July 2016		
		NONE
June 2016		
		NONE
May 2016		
		NONE
April 2016		
		NONE
March 2016		
		NONE
February 2016		
		NONE
January 2016		
		NONE