

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET INSTITUTIONS**

**BROKER/DEALER in Securities
NEW REGISTRANTS**

#	CR #	NAME
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September 2016

1	01-2004-00102	BDO NOMURA SECURITIES, INC. (SUCCESSOR BROKER DEALER OF PCIB SECURITIES, INC.)
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August 2016

		NONE
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July 2016

		NONE
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June 2016

		NONE
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May 2016

		NONE
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April 2016

1	01-2004-00121	SB EQUITIES, INC. - MUNTINLUPA CITY BRANCH
2	01-2016-00282	NOVEL SECURITIES, INC.

March 2016

1	01-2016-00280	1INFINITY TRADERS SECURITIES CORP.
2	01-2016-00281	VC SECURITIES CORPORATION

February 2016

		NONE
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January 2016

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