

**COMPANY REGISTRATION AND MONITORING DEPARTMENT  
LIST OF CAPITAL MARKET PROFESSIONALS**

**NEW REGISTRANTS FOR OCTOBER 2015  
MUTUAL FUND DISTRIBUTOR**

<b>#</b>	<b>CR #</b>	<b>NAME</b>	<b>TYPE</b>
<b><u>01-2004-00031</u></b>		<b><u>CITICORP FINANCIAL SERVICES AND INSURANCE BROKERAGE PHILIPPINES INCORPORATED</u></b>	
1	02-2009-03477	CHUA, ROANNA JOY YAP	CIS
2	02-2011-05488	GO, JESYLYNE YU	CIS
3	02-2014-08666	KHO, MARVIN LAO	CIS
4	02-2009-03141	LAO, CHRISTOHER SY	CIS
5	02-2009-03263	TAN, SHERWIN PRENKSHAMAR	CIS
6	02-2015-10244	TO, KENNESIE LIM	CIS
<b><u>01-2014-00033</u></b>		<b><u>COL FINANCIAL GROUP, INC.</u></b>	
1	02-2009-03179	ARANDA, AILEEN GERALDINE SALCEDO	CIS
2	02-2015-10837	DIAZ, BRIAN RICHARD CAMANO	CIS
3	02-2004-00479	LARAYA, MALAYA ADRIANO	CIS
4	02-2004-00586	MATEO, JODELLE CHAN	CIS
5	02-2015-11334	PURAY, ALEXANDER DAVID ALDIANO	CIS