

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET PROFESSIONALS**

**REGISTRANTS AS OF MAY 2015
BROKER IN SECURITIES**

#	CR #	NAME	TYPE
<u>01-2004-00001</u>		<u>A & A SECURITIES, INC.</u>	
1	02-2015-10497	BANGAYAN, JASON YOUNG	S
2	02-2015-10498	CHAN, CINDY THERESE BANGAYAN	S
<u>01-2004-00031</u>		<u>CITICORP FINANCIAL SERVICES AND INSURANCE CORPORATION</u>	
1	02-2010-04497	BRINAS, CHERRIE ANNE ESTIVA	FIMS
2	02-2009-03203	CU, JEROME CO	FIMS
3	02-2009-03222	GO, JOLINA CRISOSTOMO	FIMS
4	02-2009-03252	NG, ANTONIO GO	FIMS
5	02-2009-03264	PUNZALAN, CHARLENE DEONIO	FIMS
6	02-2009-03244	TAN, JOCELYN LIM	FIMS
7	02-2013-07794	YAP, WALTER FRANCIS ANG	FIMS