

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET PROFESSIONALS**

**REGISTRANTS AS OF APRIL 2015
BROKER/DEALER in Securities**

#	CR #	NAME	TYPE
<u>01-2004-00027</u>		<u>BPI SECURITIES CORPORATION</u>	
1	02-2015-10336	SIMPAO, MARVIN ROY SABIJON	AP
2	02-2015-10316	FAJARDO, BENNETTE EDWARD JOHN GUERRA	S
3	02-2014-08662	GUTIERREZ, MA. MARGARITA ZALAMEA	S
4	02-2015-10318	TANG, JASPER BENEDICT YU	S
<u>01-2004-00033</u>		<u>COL FINANCIAL GROUP, INC.</u>	
1	02-2015-10378	GANHINHIN, IRA PEREZ	S
<u>01-2004-00052</u>		<u>FIDELITY SECURITIES, INC.</u>	
1	02-2015-10315	TIU, BARBARA APRIL CUE	AP
<u>01-2004-00087</u>		<u>LUCKY SECURITIES, INC.</u>	
1	02-2015-10319	GOBING, JACQUELINE ONG	AP
<u>01-2004-00104</u>		<u>PHILIPPINE EQUITY PARTNERS, INC.</u>	
1	02-2015-10350	HUGO, VALERIE JEAN MARIE TIRADOR	S
<u>01-2004-00113</u>		<u>R. NUBLA SECURITIES INC.</u>	
1	02-2015-10317	ANG, ANRO TIU	S
<u>01-2004-00115</u>		<u>RCBC SECURITIES INC.</u>	
1	02-2012-07478	INGCOCO, JUDY PARREÑAS	S
<u>01-2004-00139</u>		<u>UNICAPITAL SECURITIES, INC.</u>	
1	02-2015-10334	ESPINOCILLA, ALIX EMMANUEL CONCEPCION	S
2	02-2015-10335	ONG, JOHN ALDRICH CHAN	S
<u>01-2004-00142</u>		<u>VENTURE SECURITIES INC.</u>	
1	02-2011-06086	UY, LUCKY DICKINSON TAN	S
<u>01-2004-00143</u>		<u>VICSAL SECURITIES AND STOCK BROKERAGE, INC.</u>	
1	02-2004-00624	OLAR, ANECITA ROM	S