

**COMPANY REGISTRATION AND MONITORING DEPARTMENT  
LIST OF CAPITAL MARKET PROFESSIONALS**

**RENEWAL REGISTRANTS FOR 2014**

<b>#</b>	<b>CR #</b>	<b>NAME</b>	<b>TYPE</b>
<b><u>INVESTMENT HOUSE (IH)</u></b>			
<b><u>01-2008-00214</u></b>		<b><u>ABACUS CAPITAL &amp; INVESTMENT CORPORATION</u></b>	
1	02-2008-02406	MANLANGIT, ROSALINDA DEL ROSARIO	CO
<b><u>01-2008-00216</u></b>		<b><u>ASIAN ALLIANCE INVESTMENT CORPORATION</u></b>	
1	02-2008-02496	ESTAGLE, ANNAFE AGNES IGNACIO	AP
2	02-2008-02409	SERAFICA, AUGUSTO CRUZ	CO
<b><u>01-2010-00271</u></b>		<b><u>BA INVESTMENTS AND HOLDINGS, INC.</u></b>	
1	02-2004-00013	ALCARAZ, PEPITO LAGUNAY	AP
<b><u>01-2008-00221</u></b>		<b><u>CITICORP CAPITAL PHILIPPINES, INC.</u></b>	
1	02-2004-00267	DEE, GABRIEL ANG	CO
<b><u>01-2008-00222</u></b>		<b><u>CLSA EXCHANGE CAPITAL, INC.</u></b>	
1	02-2008-02413	LAFUENTE, MARINELA BERNARDO	CO
<b><u>01-2008-00225</u></b>		<b><u>INSULAR INVESTMENT CORPORATION</u></b>	
1	02-2009-04290	DE JESUS, RENATO SENGSON	CO
<b><u>01-2008-00226</u></b>		<b><u>INTERNATIONAL CAPITAL CORPORATION</u></b>	
1	02-2013-08464	DEE, MA. GEMMA MARQUEZ	CO
<b><u>01-2008-00227</u></b>		<b><u>INVESTMENT &amp; CAPITAL CORPORATION OF THE PHILIPPINES</u></b>	
1	02-2008-02419	TORRES, MA. CAROLINE GOMEZ	CO

<b><u>01-2008-00217</u></b>		<b><u>MAYBANK ATR KIMENG CAPITAL PARTNERS, INC.</u></b>	
1	02-2004-00103	BOLAZO, NUMERIANO BARBA	CO
<b><u>01-2008-00229</u></b>		<b><u>PBC CAPITAL INVESTMENT CORPORATION</u></b>	
1	02-2008-02423	ESPINOZA, JAY PACIS	CO
<b><u>01-2008-00231</u></b>		<b><u>PENTA CAPITAL &amp; INVESTMENT CORPORATION</u></b>	
1	02-2012-07512	BAGACONZA, OLYMPIO DIAZ	CO
<b><u>01-2008-00233</u></b>		<b><u>PHILIPPINE REGIONAL INVESTMENT DEVELOPMENT CORPORATION</u></b>	
1	02-2008-02425	SAN DIEGO, JOAQUIN ELUMBA	CO
<b><u>01-2008-00234</u></b>		<b><u>PNB CAPITAL AND INVESTMENT CORPORATION</u></b>	
1	02-2008-02426	COBARRUBIAS, CYNTHIA SAMAR	CO
<b><u>01-2008-00236</u></b>		<b><u>SB CAPITAL INVESTMENT CORPORATION</u></b>	
1	02-2010-04873	AGUILAR, LEAH MOLINYAWE	CO
<b><u>01-2008-00237</u></b>		<b><u>SMBC METRO INVESTMENT CORPORATION</u></b>	
1	02-2008-02428	DE GUZMAN-BOLOS, IRMA DE LEON	CO
<b><u>01-2008-00213</u></b>		<b><u>STATE INVESTMENT TRUST, INC.</u></b>	
1	02-2008-02430	GATCHALIAN, MARIBETH TABBU	CO
<b><u>01-2008-00238</u></b>		<b><u>UBS INVESTMENTS PHILLIPPINES INC.</u></b>	
1	02-2013-08606	CACHO, LORENA INOCENCIO	CO