

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET INSTITUTIONS**

NEW REGISTRANTS FOR DECEMBER 2014

UNDERWRITERS OF SECURITIES ENGAGED IN DEALING GOVERNMENT SECURITIES

#	CR #	NAME	TYPE
01-2008-00241		CHINA BANKING CORPORATION	
1	02-2010-04682	MAGLAPUZ, LIZA CATALINA PAGKATIPUNAN	FIMS
2	02-2014-09962	TAN, RICHARD ELAN SY	FIMS
3	02-2014-09963	TUASON JR, MIKHAIL OSCAR CEDRO	FIMS

01-2008-00187		EAST WEST BANKING CORPORATION	
1	02-2013-07899	LADABAN, JUSTIN ROBERT GABRIEL	FIMS

01-2008-00245		THE HONGKONG AND SHANGHAI BANKING CORPORATION LTD.-PHILIPPINE BRANCH	
1	02-2014-10082	ADELFIN, RICHARD ANTHONY GOLEZ	FIMS
2	02-2014-10079	ALCANTARA, ROCHELLE VERGARA	FIMS
3	02-2014-10080	DELA CRUZ, KATHERINE ONG	FIMS
4	02-2009-03492	FERREROS, ENRICO HERMANO	FIMS
5	02-2014-10078	YAO, CATHERINE CO	FIMS

01-2008-00247		UNITED COCONUT PLANTERS BANK	
1	02-2014-09966	ABES, DONNA LYN VINOYA	FIMS
2	02-2014-09967	ARAGON, MIGUEL ALBERTO TORROBA	FIMS
3	02-2014-09968	BASSIG, SUSAN MAY PARADO	FIMS
4	02-2014-09969	CABANBAN, JOSE MIGUEL ANTONIO TONGSON	FIMS
5	02-2014-09970	PEREZ, CHRISTIAN HAMID DEL ROSARIO	FIMS