

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET PROFESSIONALS**

**NEW REGISTRANTS FOR DECEMBER 2014
BROKER IN SECURITIES**

#	CR #	NAME	TYPE
	<u>01-2004-00031</u>	<u>CITICORP FINANCIAL SERVICES AND INSURANCE BROKERAGE PHILIPPINES, INC.</u>	
1	02-2010-04795	LIM, ROSEMARIE ANNE GAN	FIMS