

**COMPANY REGISTRATION AND MONITORING DEPARTMENT  
LIST OF CAPITAL MARKET PROFESSIONALS**

**NEW REGISTRANTS FOR NOVEMBER 2014  
BROKER/DEALER IN SECURITIES**

<b>#</b>	<b>CR #</b>	<b>NAME</b>	<b>TYPE</b>
<b><u>01-2004-0008</u></b>		<b><u>ALAKOR SECURITIES CORPORATION</u></b>	
1	02-2014-09794	VERGARA, ALBERTO ANTONA	S

<b><u>01-2004-00016</u></b>		<b><u>ASIASEC EQUITIES, INC.</u></b>	
1	02-2011-05747	DELFIN, ADRIAN SIERVO	S

<b><u>01-2004-00027</u></b>		<b><u>BPI SECURITIES CORPORATION</u></b>	
1	02-2014-09805	CLAVERIA, IAN MATTHEW PALICPIC	S

<b><u>01-2004-00068</u></b>		<b><u>HDI SECURITIES, INC.</u></b>	
1	02-2014-09961	SARMIENTO, JEFFREY GERERO	S

<b><u>01-2004-00091</u></b>		<b><u>MDR SECURITIES, INC.</u></b>	
1	02-2004-00577	MANUEL, AMALIA LOURDES RECTO	AP

<b><u>01-2004-00101</u></b>		<b><u>PCCI SECURITIES BROKERS CORPORATION</u></b>	
1	02-2007-02265	HENSON, VICTOR ANDREW VILLASPER	S

<b><u>01-2004-00113</u></b>		<b><u>R. NUBLA SECURITIES, INC.</u></b>	
1	02-2014-09769	GAN, BRADFORD TIN	S

<b><u>01-2004-00109</u></b>		<b><u>SALISBURY BKT SECURITIES CORPORATION</u></b>	
1	02-2014-09872	SANTARINA, MARK CRISMON VALMONTE	S
2	02-2014-09823	WONG, CHUN WAI RAYMOND	S

<b><u>01-2004-00141</u></b>		<b><u>UPCC SECURITIES CORPORATION</u></b>	
1	02-2004-00086	BASILIO SR, RENATO BRAVO	S
2	02-2004-00133	CAMIGLA, RUDY SIMEON	S
3	02-2004-00864	UBALDE, BENITO TAN	S

<b><u>01-2004-00149</u></b>		<b><u>YU AND COMPANY, INC.</u></b>	
1	02-2014-09795	CHAN, KIRK PATRICK GO	S