

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET PROFESSIONALS**

NEW REGISTRANTS FOR OCTOBER 2014

#	CR #	NAME	TYPE
<u>UNDERWRITERS OF SECURITIES</u>			
<u>ENGAGED IN DEALING GOVERNMENT SECURITIES</u>			
<u>01-2008-00242</u>		<u>ING BANK N.V. MANILA BRANCH</u>	
1	02-2014-09736	CU, DARLENE CINDY WONG	FIMS
<u>01-2008-00245</u>		<u>THE HONGKONG ANG SHANGHAI BANKING CORPORATION</u>	
1	02-2014-09729	ANGCO, CATHERINE MINGOY	FIMS
2	02-2013-08404	CUISIA, JESSICA MARIA JOSE	FIMS
3	02-2014-09722	LAO, NICOLE ANNE ANG	FIMS
4	02-2014-09730	TAN, MARVIN JASON DY PICO	FIMS