

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET PROFESSIONALS**

NEW REGISTRANTS FOR SEPTEMBER 2014

#	CR #	NAME	TYPE
<u>UNDERWRITERS OF SECURITIES</u>			
<u>ENGAGED IN DEALING GOVERNMENT SECURITIES</u>			
<u>01-2008-00244</u>		<u>STANDARD CHARTERED BANK</u>	
1	02-2009-03653	YAP, ELEAN TREAZ ENGRACIA	FIMS