

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET PROFESSIONALS**

NEW REGISTRANTS FOR AUGUST 2014

#	CR #	NAME	TYPE
<u>UNDERWRITERS OF SECURITIES</u>			
<u>ENGAGED IN DEALING GOVERNMENT SECURITIES</u>			
<u>01-2008-00245</u>		<u>THE HONGKONG AND SHANGHAI BANKING CORPORATION LTD-PHILIPPINE BRANCH</u>	
1	02-2014-09480	SANTOS, CRISTINA MARIE LIM	FIMS
2	02-2014-09481	SUNGA, VINA MARIFE	FIMS
<u>01-2008-00246</u>		<u>UNIONBANK OF THE PHILIPPINES</u>	
1	02-2010-05273	CASAS, CATHERINE ANNE BAUTISTA	FIMS
2	02-2014-09516	ESCOLAR, MA. CHRISTINA AQUINO	FIMS
3	02-2014-09517	GENEROSO, MA. VICENTA PAÑA	FIMS