

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET PROFESSIONALS**

NEW REGISTRANTS FOR AUGUST 2014

#	CR #	NAME	TYPE
<u>BROKER/DEALER in Securities</u>			
<u>01-2004-00004</u>		<u>AB CAPITAL SECURITIES, INC.</u>	
1	02-2014-09476	CORPUZ, DIANNE ACOSTA	S
2	02-2014-09477	DE DIOS, ROSIELLE ANNE TABERNEO	S
3	02-2014-09478	ESMANI, JESSIE MAE LABANON	S
<u>01-2004-00007</u>		<u>ACCORD CAPITAL EQUITIES CORPORATION</u>	
1	02-2013-08354	HERNANDEZ, RAPHAEL JOURDAN MACALINDONG	S
2	02-2014-09525	SOBEJANA, IBIN CABOG	S
3	02-2004-00940	YU, KENNETH CHUA	S
<u>01-2004-00027</u>		<u>BPI SECURITIES CORPORATION</u>	
1	02-2014-09563	MACAPAGAL, JANICE ABELLANEDA	S
<u>01-2004-00068</u>		<u>HDI SECURITIES, INC.</u>	
1	02-2014-09433	CARLOS, ORENS DOMINIQUE MORALES	S
<u>01-2004-00071</u>		<u>I.B. GIMENEZ SECURITIES INC.</u>	
1	02-2014-09479	ALBOS, ROWENA CABARCO	S
<u>01-2004-00077</u>		<u>JM BARCELON & CO., INC.</u>	
1	02-2014-09562	BARCELON, JOSEPHINE VICENTE	S
<u>01-2004-00116</u>		<u>REGINA CAPITAL DEVELOPMENT CORPORATION</u>	
1	02-2014-09435	AGUZAR, JOHN BENEDICT GATAN	S
<u>01-2004-00144</u>		<u>WEALTH SECURITIES, INC.</u>	
1	02-2014-09430	BERNARDO, ANALYN YANGA	S
2	02-2014-09429	CAGUIOA, ARMER BERNARDO	S
3	02-2014-09434	SARINO, CESAR JUAN CARLO MENDOZA	S
4	02-2014-09431	TAN, FRANCES CASSIE TIU	S
5	02-2014-09432	YOUNG, ENGELO MARTINEZ	