

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET PROFESSIONALS**

NEW REGISTRANTS FOR JULY 2014

#	CR #	NAME	TYPE
<u>BROKER/DEALER in Securities</u>			
<u>01-2004-00011</u>		<u>ANGPING & ASSOCIATES SECURITIES, INC.</u>	
1	02-2009-04061	PHOA, THOMSON BRIAN DY	S
2	02-2004-00699	REITHER, DOMINIQUE GONZALEZ	S
3	02-2012-06496	SAN PEDRO, CRISTOPHER ADRIAN TABAFUNDA	S
4	02-2006-02027	SAYO, MICHAEL SAMSON	S
<u>01-2004-00027</u>		<u>BPI SECURITIES CORPORATION</u>	
1	02-2014-09351	CAYETANO, MIKKO SALVADOR	S
<u>01-2004-00033</u>		<u>COL FINANCIAL GROUP, INC.</u>	
1	02-2011-05313	TEO, ELEANORE LEE	S
<u>01-2004-00132</u>		<u>DW CAPITAL, INC.</u>	
1	02-2014-09355	ANG, RICHMOND CO	S
<u>01-2004-00046</u>		<u>EASTERN SECURITIES DEVELOPMENT CORPORATION</u>	
1	02-2012-06892	TENG, CHRISTINE SY	S
<u>01-2004-00092</u>		<u>FIRST METRO SECURITIES BROKERAGE CORPORATION</u>	
1	02-2009-03370	ONG, MARY GRACE SAPIT	S
<u>01-2004-00068</u>		<u>HDI SECURITIES, INC.</u>	
1	02-2014-09353	SEE, KEVIN DUANE SUN	S
<u>01-2004-00070</u>		<u>I.B. GIMENEZ SECURITIES INC.</u>	
1	02-2014-09373	VALINO, ANTONINO FAJARDO	S
<u>01-2004-00074</u>		<u>MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.</u>	
1	02-2004-00566	MAGPAYO, KARISA GARCIA	S
<u>01-2004-00113</u>		<u>R. NUBLA SECURITIES, INC.</u>	
1	02-2014-09411	GARCIA, MA. ROSARIO LOURDES SAGALA	S
<u>01-2004-00115</u>		<u>RCBC SECURITIES, INC.</u>	
1	02-2014-09406	VELASCO, SUNDEE BARTOLOME	AP
2	02-2014-09407	MENDOZA, MADETH DAGOHY	S
<u>01-2004-00139</u>		<u>UNICAPITAL SECURITIES, INC.</u>	
1	02-2010-04684	BAMBICO, GIAN DEREK CARREON	S

2	02-2014-09354	GABALDON, NEIL PATRICK ANG	S
<u>01-2004-00140</u>		<u>UOB-KAY HIAN SECURITIES (PHILS.) INC.</u>	
1	02-2008-02714	SESTOSO, JOSEPHINE ABIERA	S
<u>01-2004-00141</u>		<u>UPCC SECURITIES CORPORATION</u>	
1	02-2009-04025	ORNUM, DELJAN LUTHER HALLADO	S
<u>01-2004-00142</u>		<u>VENTURE SECURITIES, INC.</u>	
1	02-2014-09408	PORTACIO, HEHERSON GARCIA	S
<u>01-2004-00144</u>		<u>WEALTH SECURITIES, INC.</u>	
1	02-2014-09372	GO, ABEGAEL ISELLE GO	S
2	02-2014-09371	LEE, JASON CHUA	S
3	02-2014-09370	VILLANUEVA, JULIO CESAR REYES	S