

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET PROFESSIONALS**

NEW REGISTRANTS FOR JUNE 2014

| # | CR # | NAME | TYPE |
|--------------------------------|---------------|---|------|
| MUTUAL FUND DISTRIBUTOR | | | |
| 004-00151 | | <u>BPI INVESTMENT MANAGEMENT, INC.</u> | |
| 1 | 02-2014-09253 | ADLE, KARMELLA DAWN DALHOG | CIS |
| | | | |
| 01-2004-00031 | | <u>CITICORP FINANCIAL SERVICES & INSURANCE BROKERAGE PHILS. INC.</u> | |
| 1 | 02-2010-05043 | BALDOSTAMON, LEAR BALABA | CIS |
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