

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET PROFESSIONALS**

NEW REGISTRANTS FOR MAY 2014

#	CR #	NAME	TYPE
<u>BROKER/DEALER in Securities</u>			
<u>01-2004-00005</u>		<u>ABACUS SECURITIES CORPORATION</u>	
1	02-2014-09157	SEE, KIMBERLY CHANTEL SANTOS	S
<u>01-2004-00007</u>		<u>ACCORD CAPITAL EQUITIES CORPORATION</u>	
1	02-2014-09126	SEBELLO, JON ALVIN CRUZ	S
<u>01-2004-00040</u>		<u>DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.</u>	
1	02-2014-09122	RACE, MARK ROME MASCARDO	S
<u>01-2004-00066</u>			
1	02-2011-06327	<u>H.E. BENNETT SECURITIES, INC.</u> SYQUIATCO, TIMOTHY JAMES SHU	S
<u>01-2004-00068</u>			
1	02-2014-09158	<u>HDI SECURITIES, INC.</u> BATERINA, STACEY LIAN DOMINGO	S
<u>01-2004-00074</u>			
1	02-2014-09189	<u>MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.</u>	S
2	02-2014-09188	SCHAFFNER, CHRISTOPHER JAMES TI, BRADFORD KYLE KING	S
<u>01-2004-00115</u>			
1	02-2014-09124	<u>RCBC SECURITIES, INC.</u>	S
2	02-2014-09123	PASION, MARTIN ANGELO GABRIEL DE OCAMPO SANTOS, KATRINA VICTORINO	S
<u>01-2004-00109</u>		<u>SALISBURY BKT SECURITIES CORPORATION</u>	
1	02-2007-02304	ARCE, TOBY ALLAN CRISPALA	S
<u>01-2004-00137</u>		<u>UBS SECURITIES PHILIPPINES, INC.</u>	
1	02-2012-06479	FERNANDEZ, GASTON LUIS GERVASIO	S
2	02-2014-09125	HIZON, KAREN LOUISE HILADO	S

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