

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET PROFESSIONALS**

NEW REGISTRANTS FOR APRIL 2014

#	CR #	NAME	TYPE
<u>UNDERWRITERS OF SECURITIES</u>			
<u>ENGAGED IN DEALING GOVERNMENT SECURITIES</u>			
<u>01-2009-00255</u>		<u>AUSTRALIA AND NEW ZEALAND BANKING GROUP LIMITED</u>	
1	02-2009-03308	ENRIQUEZ, KRISTOFFER CRIS BASILIO	FIMS
<u>01-2008-00244</u>		<u>STANDARD CHARTERED BANK</u>	
1	02-2014-08714	GUERRERO, RACHEL ANNE GADI	FIMS

