

**COMPANY REGISTRATION AND MONITORING DEPARTMENT  
LIST OF CAPITAL MARKET PROFESSIONALS**

**NEW REGISTRANTS FOR JANUARY 2014**

<b>#</b>	<b>CR #</b>	<b>NAME</b>	<b>TYPE</b>
<b><u>UNDERWRITERS OF SECURITIES</u></b>			
<b><u>ENGAGED IN DEALING GOVERNMENT SECURITIES</u></b>			
<b><u>01-2008-00244</u></b>		<b><u>STANDARD CHARTERED BANK</u></b>	
1	02-2014-08664	CATOTO, ERWEIN JOHN MANABAT	FIMS
2	02-2009-04076	DELFINO, NANCY MARY ANNE MAGNAYI	FIMS
<b><u>01-2008-00245</u></b>		<b><u>THE HONGKONG AND SHANGHAI BANKING CORPORATION LTD.-PHILIPPINE BRANCH</u></b>	
1	02-2009-03479	DE JESUS, VANESSA GABRIEL	FIMS