

**COMPANY REGISTRATION AND MONITORING DEPARTMENT  
LIST OF CAPITAL MARKET PROFESSIONALS**

**NEW REGISTRANTS FOR JANUARY 2014**

<b>#</b>	<b>CR #</b>	<b>NAME</b>	<b>TYPE</b>
<b>MUTUAL FUND DISTRIBUTOR</b>			
	<b><u>01-2004-00031</u></b>	<b><u>CITICORP FINANCIAL SERVICES AND INSURANCE BROKERAGE PHILIPPINES, INC.</u></b>	
1	02-2014-08659	BERNAL, KATHERINE ANN TAN	CIS
2	02-2014-08660	CARLOS, JONI YANDOC	CIS
3	02-2014-08661	E, JEMMA GRACE TAN	CIS
4	02-2014-08662	GUTIERREZ, MA. MARGARITA ZALAMEA	CIS
5	02-2014-08666	KHO, MARVIN LAO	CIS
6	02-2014-08674	LEY, CARLA KAY CO	CIS
7	02-2013-08594	ODULIO, ENA VICTORIA SANSON	CIS
8	02-2014-08663	PEORNATO, MARIE JO JIMENEA	CIS
9	02-2014-08667	ZALAMEA III, ANTONIO JOSE MAURICIO	CIS