

**COMPANY REGISTRATION AND MONITORING DEPARTMENT  
LIST OF CAPITAL MARKET INSTITUTIONS**

**NEW REGISTRANTS FOR OCTOBER 2014**

<b>UNDERWRITERS OF SECURITIES</b>		
<b>ENGAGED IN DEALING GOVERNMENT SECURITIES</b>		
<b>#</b>	<b>CR NO.</b>	<b>NAME OF COMPANY</b>
1	01-2008-00176	ASIA UNITED BANK CORPORATION