

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET PROFESSIONALS**

NEW REGISTRANTS FOR DECEMBER 2013

#	CR #	NAME	TYPE
<u>BROKER IN SECURITIES</u>			
	<u>01-2004-00031</u>	<u>CITICORP FINANCIAL SERVICES AND INSURANCE BROKERAGE PHILS., INC.</u>	
1	02-2010-04503	ACTA, MARIA CRISTINA DECENA	FIMS