

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET PROFESSIONALS**

NEW REGISTRANTS FOR NOVEMBER 2013

#	CR #	NAME	TYPE
<u>BROKER/DEALER in Securities</u>			
<u>01-2004-00009</u>		<u>ALPHA SECURITIES CORPORATION</u>	
1	02-2013-08613	DIMZON, JIERO JOHN DIVA	S
<u>01-2004-00011</u>		<u>ANGPING & ASSOCIATES SECURITIES, INC.</u>	
1	02-2013-08588	HUANG, ELLIE NICOLLE NOCOM	S
<u>01-2010-00256</u>		<u>ANSALDO, GODINEZ & CO., INC.</u>	
1	02-2013-08614	GODINEZ, JOHN KENNETH CABATO	S
2	02-2013-08607	LAZATIN, CARMELA AMAR	S
<u>01-2004-00024</u>		<u>BELSON SECURITIES, INC.</u>	
1	02-2013-05849	CALISON, KRISTINE SUBIDA	S
<u>01-2004-00028</u>		<u>CAMPOS, LANUZA & CO., INC.</u>	
1	02-2013-08595	LACSON, HERMAN JOSE MA. BORLONGAN	S
<u>01-2004-00033</u>		<u>COL FINANCIAL GROUP, INC.</u>	
1	02-2009-03179	ARANDA, AILEEN GERALDINE SALCEDO	S
2	02-2013-08439	DULALIA, VANNESSA RAMISCAL	S
<u>01-2011-00274</u>		<u>CREDIT SUISSE SECURITIES (PHILIPPINES) INC.</u>	
1	02-2013-08465	BISNAR, JOHN CHRISTIAN ODILAO	S
<u>01-2004-00071</u>		<u>I. B. GIMENEZ SECURITIES, INC.</u>	
1	02-2004-00165	CHOI, MARTIN MAN HING HUI	S
<u>01-2004-00093</u>		<u>MERIDIAN SECURITIES, INC.</u>	
1	02-2013-08458	SARMIENTO, FELY CHARITO	AP
<u>01-2004-00100</u>		<u>PAPA SECURITIES CORPORATION</u>	
1	02-2011-05616	ARTADI, MA. MARGARITA PEREZ	S
<u>01-2010-00257</u>		<u>SUNSECURITIES INC.</u>	
	02-2013-08438	TE, JOSE MANUEL HOW	AP