

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET PROFESSIONALS**

NEW REGISTRANTS FOR OCTOBER 2013

#	CR #	NAME	TYPE
<u>UNDERWRITERS OF SECURITIES</u>			
<u>ENGAGED IN DEALING GOVERNMENT SECURITIES</u>			
<u>01-2008-00241</u>		<u>CHINA BANKING CORPORATION</u>	
1	02-2013-08380	CADORNA, JOSELITO VILLAFUERTE	FIMS
2	02-2013-08374	DHARMIDAS, ADRIENNE KELVIN GRACE PAGASIAN	FIMS
3	02-2013-08379	GO, ALAN YAP	FIMS
4	02-2013-08377	MAYOL, VICTOR PAREDES	FIMS
5	02-2013-08372	MENDOZA, ALYSSA CAMILLE ESPIRITU	FIMS
6	02-2013-08381	ONREJAS, SHARON ROSE LIMPIADO	FIMS
7	02-2013-08376	PANTINO, IRIS GAIL CALINAWAGAN	FIMS
8	02-2013-08378	UMBAY, RUEL GANTUANGCO	FIMS
<u>01-2008-00244</u>		<u>STANDARD CHARTERED BANK</u>	
1	02-2013-08366	AQUINO, ANITA LINDA RAMOS	FIMS
2	02-2009-03445	BANSON, ANGELA GABRIELLE SANTOS	FIMS
3	02-2013-08429	POBLETE, YSABELLA KRISTINA MACARAEG	FIMS
4	02-2009-03014	RAMOS, JOHN RICHARD PAISO	FIMS
5	02-2009-03290	UMALI, MARIE NOELLE VENTURINA	FIMS
6	02-2009-03555	UY, JINKY TAN	FIMS
<u>01-2008-00245</u>		<u>THE HONGKONG AND SHANGHAI BANKING CORPORATION</u>	
1	02-2013-08363	POLINAG, ERIKA PAULA TEC	FIMS
2	02-2012-06590	ANG, CATRICIA MONICA TAN	FIMS