

**COMPANY REGISTRATION AND MONITORING DEPARTMENT  
LIST OF CAPITAL MARKET PROFESSIONALS**

**NEW REGISTRANTS FOR OCTOBER 2013**

<b>#</b>	<b>CR #</b>	<b>NAME</b>	<b>TYPE</b>
<b><u>BROKER/DEALER in Securities</u></b>			
<b><u>01-2004-00021</u></b>		<b><u>BA SECURITIES, INC.</u></b>	
1	02-2013-08364	MONCUPA, ANNA KARLA MIKHAELA JIMENEZ	S
<b><u>01-2004-00027</u></b>		<b><u>BPI SECURITIES CORPORATION</u></b>	
1	02-2008-02746	SALANG JR, DIOSDADO CHUA	AP
2	02-2004-00057	ARAMBULO, ARCHIMEDES IGNACIO	S
3	02-2013-08372	OYSON, MICHAELANGELO DE LOS REYES	S
<b><u>01-2004-00033</u></b>		<b><u>COL FINANCIAL GROUP, INC.</u></b>	
1	02-2007-02289	CO, HOWARD GOTAUCO	S
<b><u>01-2004-00071</u></b>		<b><u>I.B. GIMENEZ SECURITIES, INC.</u></b>	
1	02-2013-08365	TELAGEN, JOYLIN FERNANDO	S
<b><u>01-2004-00019</u></b>		<b><u>MAYBANK ATR KIM ENG SECURITIES, INC.</u></b>	
1	02-2013-08382	PINEDA, MICAELA PAOLA LACSON	S
<b><u>01-2004-00109</u></b>		<b><u>SALISBURY BKT SECURITIES CORPORATION</u></b>	
1	02-2008-02706	AGERO, ANGELYNE ANN SY	S
<b><u>01-2004-00141</u></b>		<b><u>UPCC SECURITIES CORPORATION</u></b>	
1	02-2010-04539	GALENDEZ, ANTONIO BAGUHIN	AP
<b><u>01-2004-00146</u></b>		<b><u>WONG SECURITIES CORPORATION</u></b>	
1	02-2013-08373	AGUILAR, CYNTHIA BALABIS	AP