

**COMPANY REGISTRATION AND MONITORING DEPARTMENT  
LIST OF CAPITAL MARKET PROFESSIONALS**

**NEW REGISTRANTS FOR JULY 2013**

#	CR #	NAME	TYPE
<b><u>BROKER/DEALER in Securities</u></b>			
<b><u>01-2004-00005</u></b>		<b><u>ABACUS SECURITIES CORPORATION</u></b>	
1	02-2013-08141	LI, MORNING GLORY CALLANO	S
<b><u>01-2004-00108</u></b>		<b><u>BONDS, EQUITIES, SECURITIES AND TRADERS, INC.</u></b>	
1	02-2013-08142	DILLA, MARLON ALZONA	AP
<b><u>01-2004-00027</u></b>		<b><u>BPI SECURITIES CORPORATION</u></b>	
1	02-2013-08087	LIBORO, RICHARD ANTHONY YLO	S
2	02-2013-08086	MATUTE, MONICA ISABEL CABALLERO	S
<b><u>01-2004-00034</u></b>		<b><u>CITISECURITIES, INC.</u></b>	
1	02-2013-08036	CHUA, LEONARD LOUIS CHUA	S
2	02-2013-08068	DE VENECIA, RICHARD BENEDICT FLORES	S
3	02-2013-08038	GOMEZ, VICENTE MARTIN LOPEZ	S
4	02-2013-08032	GUZMAN, PHILIPPE ALBERT LLUNA	S
5	02-2013-08037	HEREDIA, HAROLD KENT PORAL	S
6	02-2013-08035	JAO, JANINA BIANCA ANG	S
7	02-2013-08034	LUBI, KAYELETT ROSE ANN VILLARUEL	S
8	02-2004-00741	SANTOS, ANDRE CHRISTIAN BAUTISTA	S
9	02-2013-08033	TUQUIB, JUSTIN JAY BANGAYAN	S
<b><u>01-2004-00035</u></b>		<b><u>CLSA PHILIPPINES, INC.</u></b>	
1	02-2008-02706	AGERO, ANGELYNE ANN SY	S
<b><u>01-2004-00040</u></b>		<b><u>DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.</u></b>	
1	02-2004-00321	FAJARDO, JOHN KENNARD TUAZON	S
<b><u>01-2004-00041</u></b>		<b><u>DEUTSCHE REGIS PARTNERS, INC.</u></b>	
1	02-2012-06590	MOLINA, ALEJANDRO MARIA REYES	S
<b><u>01-2004-00065</u></b>		<b><u>GUILD SECURITIES, INC.</u></b>	
1	02-2013-08181	WHALLEY, MARTIN ANSEL VERGARA	S
<b><u>01-2004-000115</u></b>		<b><u>RCBC SECURITIES, INC.</u></b>	
1	02-2013-08133	CRUZ, MICHAEL PATRICK FRANCISCO	S

<b><u>01-2004-00116</u></b>		<b><u>REGINA CAPITAL DEVELOPMENT CORPORATION</u></b>	
1	02-2013-08039	SUAREZ, SIEGFRID SANTOS	S
<b><u>01-2004-00109</u></b>		<b><u>SALISBURY BKT SECURITIES CORPORATION</u></b>	
1	02-2004-00415	GUTIERREZ, JOLLY CATLY	S
<b><u>01-2004-00131</u></b>		<b><u>THE FIRST RESOURCES MANAGEMENT AND SECURITIES CORPORATION</u></b>	
1	02-2013-08185	CONCEPCION, RUBI AMALIA MARIANO	S
<b><u>01-2004-00137</u></b>		<b><u>UBS SECURITIES PHILIPPINES, INC.</u></b>	
1	02-2013-08183	PALOMO, ABIGAIL DAVIS	S
<b><u>01-2004-00139</u></b>		<b><u>UNICAPITAL SECURITIES, INC.</u></b>	
1	02-2013-08134	PARADERO, MARLON JEFFREY NUGUID	S
<b><u>01-2004-00144</u></b>		<b><u>WEALTH SECURITIES, INC.</u></b>	
1	02-2013-08140	SY-TAN, MATTHEW RANIER	S