

**COMPANY REGISTRATION AND MONITORING DEPARTMENT
LIST OF CAPITAL MARKET PROFESSIONALS**

NEW REGISTRANTS FOR JUNE 2013

#	CR #	NAME	TYPE
<u>BROKER/DEALER in Securities</u>			
<u>01-2004-00004</u>		<u>AB CAPITAL SECURITIES, INC.</u>	
1	02-2013-08013	NGO, EVANGELINE TO	S
<u>01-2004-00033</u>		<u>COL FINANCIAL GROUP, INC.</u>	
1	02-2004-00240	CUAN, ISMAEL TIO	S
2	02-2004-00586	MATEO, JODELLE CHAN	S
3	02-2004-00746	SANTOS, PATRICK GODINEZ	S
<u>01-2004-00070</u>		<u>I. ACKERMAN & COMPANY, INC.</u>	
1	02-2013-08018	CO, JOHN ANTHONY GO	S
2	02-2013-08019	DELA CRUZ III, RODOLFO SIDRO	S
<u>01-2004-00101</u>		<u>PCCI SECURITIES BROKERS CORPORATION</u>	
1	02-2013-07959	LALAGUNA, MA. SHERDAN DE GUZMAN	S
2	02-2013-07960	MATEO, JOVEN RUIZ	S
<u>01-2004-00109</u>		<u>SALISBURY BKT SECURITIES CORPORATION</u>	
1	02-2004-00320	FAJARDO, MARIZA PADUA	S
<u>01-2004-00121</u>		<u>SB EQUITIES, INC.</u>	
1	02-2013-07961	RAGOS TY, STEPHANIE TRACY BUENAQVISTA	S
2	02-2011-05585	SIBAL, MARIA ELISA ANDREA PAMANDANAN	S
3	02-2012-06475	PORNETE, MA. LUZ LABANDAN	S
<u>01-2010-00257</u>		<u>SUNSECURITIES, INC.</u>	
1	02-2013-08022	TAN, JENNIFER JANE SY	S